To,
Pune E Stock Broking Ltd
1198, Subhash Nagar,
Pune – 411002

Application-cum-Risk Disclosure for Segment Addition

Date: ______________________

Dear Sir / Madam,
I / We hereby request activation of the following trading segment(s) in my / our account and acknowledge the risks associated with such trading.

Client Details

Client Name: _______________________________

Trading A/c No.: ___________________________

Segment(s) Requested (✓):

☐ Equity Cash (EQ)

☐ Currency Derivatives (CDS)

☐ Equity Derivatives (F&O)

☐ Commodity Derivatives (MCX / NCDEX)

Risk Disclosure & Client Acknowledgement

I / We understand that trading in Derivatives and Commodities involves **high risk**, including but not limited to:
• Leverage risk resulting in losses exceeding margins
• High market volatility and liquidity risk
• Margin shortfall leading to square-off without notice
• System, execution, and regulatory risks

I / We confirm that I / We have **read, understood, and accepted** these risks, am / are financially capable of bearing losses, and shall trade **at my / our own risk and discretion**, in accordance with SEBI, Exchange rules, and the broker's policies.

Declaration & Consent

I / We hereby give consent for activation of the selected segment(s).
All details provided are **true and correct**.

Place: _____________ Date: _____________

Client Signature: ___________________________
Name of Client: ____________________________

Required Documents (Self-attested):

☐ Income Proof *(mandatory for F&O / CDS / Commodity – any one)*
• Last 3 months Salary Slip **or** Form 16 **or**
• ITR Acknowledgement **or**
• Net Worth / CA Certificate

For Office Use Only

Segments Activated: ____________________________
Verified By: ______________ Date: ______________

TRADING ACCOUNT RELATED DETAILS

A. BANK ACCOUNT(S) DETAILS *For Individuals & Non-Individuals*

1) Bank Name : ____________________

Branch Address : ____________________

Bank account no. : ____________________

Account Type : Saving ☐ Current ☐ NRI ☐ NRE ☐ NRO ☐ OTHERS ☐

MICR No. ☐☐☐☐☐☐☐☐☐ IFSC No. ☐☐☐☐☐☐☐☐☐☐☐

2) Bank Name : ____________________

Branch Address : ____________________

Bank account no. : ____________________

Account Type : Saving ☐ Current ☐ NRI ☐ NRE ☐ NRO ☐ OTHERS ☐

MICR No. ☐☐☐☐☐☐☐☐☐ IFSC No. ☐☐☐☐☐☐☐☐☐☐☐

B. DEPOSITORY ACCOUNT(S) DETAILS

Depository Name (NSDL/CDSL)	DP ID & Beneficiary ID(BO ID)	Depository Participant Name	Beneficiary Name

C. OTHER DETAILS (Please see guidelines overleaf)

1. **Gross Annual Income Details** (Please tick (✓) : ☐ Below 1 Lac ☐ 1-5 Lac ☐ 5-10 Lac ☐ 10-25 Lac ☐ >25 Lacs

   Net worth in (*Net worth should not be older than 1 year **as on (date)** d d / m m / y y y y

2. **Occupation** (Please tick (✓) any one and give brief details)

   ☐ Private Sector Service ☐ Public Sector ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist

   ☐ Retired ☐ Housewife ☐ Student ☐ Forex Dealer ☐ Others (Please specify) ____________________

3. **Please tick, if applicable :** ☐ Politically Exposed Person ☐ Related to a Politically Exposed Person

   For definition of PEP, please refer guidelines overleaf

4. **Any other Information :** ____________________

D. TRADING PREFERENCES

* Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

BSE (Capital Market) x Client Sign ❷	BSE (Derivatives) x Client Sign ❸	BSE (Star MF) x Client Sign ❹
NSE (Capital Market) x Client Sign ❺	NSE (Futures & Options) x Client Sign ❻	NSE (Currency Derivative) x Client Sign ❼
NSE (MFSS) x Client Sign ❽	NSE SLB x Client Sign ❾	MCX (Futures & Options) x Client Sign ❿

Note : Client will be able to trade only on those segment which are active with the Trading Member from time to time. Please refer to www.pesb.co.in for further information.

If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

SCHEDULE OF CHARGES FOR TRADING ACCOUNT

Client Code : ______________________ Brokerage Scheme ______________________

	Cash Segment		F & O Future		F & O Option		Currency Future		Currency Option	SLB Segment		MCX Future		MCX Option	
	Max. (%)	Min. (Paise)	Max. (%)	Min. (Paise)	% on Premium	Min. per Lot (Rs.)	Max. (%)	Min. (Paise)	% on Premium	Max. (%)	Min. (Paise)	Max. (%)	Min. (Paise)	% on Premium	Min. per Lot (Rs)
Trading : First leg															
Square off : Second leg															
Delivery Brokerage															

Other charges :

- Transaction Charges, SEBI fees, STT, Stampduty, Goods and Service Tax etc. would be charged as per regulatory norms.
- Any other penalty as Prescribed by SEBI / Exchanges. Levied by regulator on account of client's default, would be charged at actual.
- PeSB reserves the rights to levy additional charges including but not limited to the following :

Particulars	Amount
Auction Charges (In CM Segment)	1.5% of shortage amount
Bounced Cheque/Stop Payment of cheque	Rs. 250/- per instance/instrument

(These charges are subject to revision at the sole discretion of PeSB and shall be informed by ordinary post/email/quarterly account statements. Service Tax would be levied as per prevailing rates on the above mentioned charges)

I have read, understood, accepted and agree to pay the brokerage & charges.

For

x ______________________

(Client Signature)

Name :

Date :

For **Pune e Stock Broking Limited**

____

Authorised Signatory

Name :