

**To,**  
**Pune E Stock Broking Ltd**  
1198, Subhash Nagar,  
Pune – 411002

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## Application-cum-Risk Disclosure for Segment Addition

**Date:** \_\_\_\_\_

Dear Sir / Madam,

I / We hereby request activation of the following trading segment(s) in my / our account and acknowledge the risks associated with such trading.

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### Client Details

**Client Name:** \_\_\_\_\_

**Trading A/c No.:** \_\_\_\_\_

### Segment(s) Requested (✓):

- |   |  |
|---|--|
| <input type="checkbox"/> Equity Cash (EQ)         | <input type="checkbox"/> Currency Derivatives (CDS)          |
| <input type="checkbox"/> Equity Derivatives (F&O) | <input type="checkbox"/> Commodity Derivatives (MCX / NCDEX) |

### Risk Disclosure & Client Acknowledgement

I / We understand that trading in Derivatives and Commodities involves **high risk**, including but not limited to:

- Leverage risk resulting in losses exceeding margins
- High market volatility and liquidity risk
- Margin shortfall leading to square-off without notice
- System, execution, and regulatory risks

I / We confirm that I / We have **read, understood, and accepted** these risks, am / are financially capable of bearing losses, and shall trade **at my / our own risk and discretion**, in accordance with SEBI, Exchange rules, and the broker's policies.

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### Declaration & Consent

I / We hereby give consent for activation of the selected segment(s).  
All details provided are **true and correct**.

**Place:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Client Signature:** \_\_\_\_\_  
**Name of Client:** \_\_\_\_\_

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### Required Documents (Self-attested):

- Income Proof (*mandatory for F&O / CDS / Commodity – any one*)
- Last 3 months Salary Slip **or** Form 16 **or**
  - ITR Acknowledgement **or**
  - Net Worth / CA Certificate
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### For Office Use Only

Segments Activated: \_\_\_\_\_  
Verified By: \_\_\_\_\_ Date: \_\_\_\_\_

## TRADING ACCOUNT RELATED DETAILS

### **A. BANK ACCOUNT(S) DETAILS**

*For Individuals & Non-Individuals*

- 1) Bank Name : \_\_\_\_\_  
 Branch Address : \_\_\_\_\_  
 Bank account no. : \_\_\_\_\_  
 Account Type : Saving  Current  NRI  NRE  NRO  OTHERS   
 MICR No. 

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 IFSC No. 

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- 2) Bank Name : \_\_\_\_\_  
 Branch Address : \_\_\_\_\_  
 Bank account no. : \_\_\_\_\_  
 Account Type : Saving  Current  NRI  NRE  NRO  OTHERS   
 MICR No. 

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 IFSC No. 

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### **B. DEPOSITORY ACCOUNT(S) DETAILS**

Depository Name (NSDL/CDSL)	DP ID & Beneficiary ID(BO ID)	Depository Participant Name	Beneficiary Name

### **C. OTHER DETAILS (Please see guidelines overleaf)**

1. Gross Annual Income Details (Please tick (✓) :  Below 1 Lac  1-5 Lac  5-10 Lac  10-25 Lac  >25 Lacs

Net worth in (\*Net worth should not be older than 1 year) as on (date) 

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2. Occupation (Please tick (✓) any one and give brief details)

Private Sector Service  Public Sector  Government Service  Business  Professional  Agriculturist  
 Retired  Housewife  Student  Forex Dealer  Others (Please specify) \_\_\_\_\_

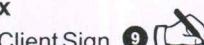
3. Please tick, if applicable :  Politically Exposed Person  Related to a Politically Exposed Person

For definition of PEP, please refer guidelines overleaf

4. Any other Information : \_\_\_\_\_

### **D. TRADING PREFERENCES**

\* Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

BSE (Capital Market)  <input checked="" type="checkbox"/> Client Sign 	BSE (Derivatives)  <input checked="" type="checkbox"/> Client Sign 	BSE (Star MF)  <input checked="" type="checkbox"/> Client Sign 
NSE (Capital Market)  <input checked="" type="checkbox"/> Client Sign 	NSE (Futures & Options)  <input checked="" type="checkbox"/> Client Sign 	NSE (Currency Derivative)  <input checked="" type="checkbox"/> Client Sign 
NSE (MFSS)  <input checked="" type="checkbox"/> Client Sign 	NSE SLB  <input checked="" type="checkbox"/> Client Sign 	MCX (Futures & Options)  <input checked="" type="checkbox"/> Client Sign 

Note : Client will be able to trade only on those segment which are active with the Trading Member from time to time. Please refer to [www.pesb.co.in](http://www.pesb.co.in) for further information.

# If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

## SCHEDULE OF CHARGES FOR TRADING ACCOUNT

Client Code :

Brokerage Scheme

	Cash Segment		F & O Future		F & O Option		Currency Future		Currency Option		SLB Segment		MCX Future		MCX Option	
	Max. (%)	Min. (Paise)	Max. (%)	Min. (Paise)	% on Premium	Min. per Lot (Rs.)	Max. (%)	Min. (Paise)	% on Premium	Max. (%)	Min. (Paise)	Max. (%)	Min. (Paise)	% on Premium	Min. per Lot (Rs)	
Trading : First leg																
Square off : Second leg																
Delivery Brokerage																

### Other charges :

- Transaction Charges, SEBI fees, STT, Stampduty, Goods and Service Tax etc. would be charged as per regulatory norms.
- Any other penalty as Prescribed by SEBI / Exchanges. Levied by regulator on account of client's default, would be charged at actual.
- PeSB reserves the rights to levy additional charges including but not limited to the following :

Particulars	Amount
Auction Charges (In CM Segment)	1.5% of shortage amount
Bounced Cheque/Stop Payment of cheque	Rs. 250/- per instance/instrument

(These charges are subject to revision at the sole discretion of PeSB and shall be informed by ordinary post/email/quarterly account statements. Service Tax would be levied as per prevailing rates on the above mentioned charges)

I have read, understood, accepted and agree to pay the brokerage & charges.

For



(Client Signature)

Name :

Date :

For Pune e Stock Broking Limited

Authorised Signatory

Name :